PTO/SB/21 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/975,128 **TRANSMITTAL** Filing Date October 10, 2001 First Named Inventor **FORM** Sandesh Goel Art Unit 2661 **Examiner Name** Phunkulh, Bob A. (to be used for all correspondence after initial filing) Attorney Docket Number P15426 Total Number of Pages in This Submission

	ENCLOSURES (Check all that apply)								
	Amendme Af Af Extension Express A Information Certified C Documen Reply to M Incomplet	ent/Reply fer Final fidavits/d of Time Abandonr on Disclos Copy of F t(s) Missing P te Applica eply to M	eclaration(s) Request ment Request sure Statement Priority		Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revo Change of Corresponder Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table of Larks	cation nce Address		Appea of App Appea (Appea Proprie Status Other below)	Enclosure(s) (please Identify
		,	SIGNA	TURE	OF APPLICANT, AT	TORNEY, C	OR AG	ENT	
Firm N	lame	Intel Co	rporation				_		
Signat	ure	/s/Micha	ael A. Proksch/Reg	g. No. 4	3,021/				
Printed name Michael A. Proksch									
Date February 14, 2006					Reg. No.	43,021			
sufficie	CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:								
Signat	ure		20	\$.	-00				
Typed	or printed r	name	Derek S. Watson					Date	02-14-2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (12-04v2)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

ork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

nt to the	Effectiv Consolida	ve on 12 ited App	2/08/200 propriatio	4. ons Act,	2005 (H.	R. 4818).
F	TR	ΔΝ	121	MI.	TT	ΔΙ

Fees pursuit For FY 2005

Applicant claims small entity st	atus.	See 37 CFR 1.27
TOTAL AMOUNT OF PAYMENT	(\$)	180.00

Complete if Known					
Application Number	09/975,128				
Filing Date	10/10/2001				
First Named Inventor	Sandesh Goel				
Examiner Name	Phunkuhl, Bob A.				
Art Unit	2661				
Attorney Docket No.	P15426				

METHOD OF PAYMEN	METHOD OF PAYMENT (check all that apply)						
	Thomas and the state of the sta						
Deposit Account						Intel Corporat	ion
For the above-identi	fied deposit	account, the Direc	tor is hereb	y authorized to	: (check all th	at apply)	
✓ Charge fee(s) indicated be	elow		Charg	je fee(s) indic	ated below, exce	ept for the filing fee
Charge any a under 37 CFF WARNING: Information on this information and authorization	R 1.16 and 1 s form may b	ecome public. Cred	·		t any overpay ot be included		vide credit card
FEE CALCULATION							
1. BASIC FILING, SEAF	FILING		SEARCI	H FEES Small Entity		TION FEES	· · · · ·
Application Type	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fees Paid (\$)
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
2. EXCESS CLAIM FEI	ES						Small Entity
<u>Fee Description</u> Each claim over 20 (including R	eissues)				<u>Fee (\$)</u> 50	<u>Fee (\$)</u> 25
Each independent cla			ues)			200	100
Multiple dependent c			,			360	180
Total Claims	Extra Clair	<u>ns Fee (\$)</u>	Fee P	aid (\$)		Multiple Dep	endent Claims
- 20 or HP =	3	× <u>50.00</u>	_= <u>150</u>	.00		Fee (\$)	Fee Paid (\$)
HP = highest number of tota Indep. Claims	claims paid to Extra Clair	•	Fee Pa	aid (\$)			
3 or HP = 1 x 200.00 = 200.00							
HP = highest number of independent claims paid for, if greater than 3.							
3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CEP 1.52(a)), the application size for due is \$250 (\$125 for small entity) for each additional 50							
listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
sneets or fraction thereof. See 35 U.S.C. 41(a)(1)(d) and 37 CFR 1.16(s). Total Sheets							
4. OTHER FEE(S) Non-English Specifi	cation, \$1	30 fee (no smal	ll entity dis	scount)		•	Fees Paid (\$)
Other (e.g., late filing surcharge): Information Disclosure Statement Fee 180.00							

SUBMITTED BY						
Signature	/s/Michael A. Proksch/Reg. No. 43,021/	Registration No. (Attorney/Agent) 43,021	Telephone 503-264-3059			
Name (Print/Type)	Michael A. Proksch		Date February 14, 2006			

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

7			<u> </u>	iorney Docker No.: F
EB 1 7 2006	IN THE UNITED STATES PATEN	T AND	TRADEMARK	OFFICE
& TRADENRE	the Application of:)		
	Sandesh Goel, et al.)	Art Group:	2661
Appli	cation No.: 09/975,128)	Examiner:	Phunkuhl, Bob A.
Filed:	October 10, 2001)		
For:	A SYSTEM AND METHOD FOR PROVIDING AUTOMATIC RE-TRANSMISSION OF WIRELESSLY TRANSMITTED INFORMATION))))		

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty to disclosure, included herewith is form PTO/SB/08 or PTO-1449 indicating references cited and copies of the cited art except for copies not required to be submitted (e.g., copies of US patents and US published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a Final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97 the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any additional fee due to Deposit Account 50-0221. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

02/17/2006 HTECKLU1 00000089 500221 09975128

01 FC:1806 180.00 DA

Respectfully submitted,

1

Intel Corporation

Date: February 14, 2006

/s/Michael A. Proksch/Reg. No. 43,021/ Michael A. Proksch, Reg. No. 43,021

P15426

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

ubstitute for form 1449/PTO

FEB 1 7 2006

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

(Use as many sneets as necessary)

of 8

Complete if Known				
Application Number	09/975,128			
Filing Date	10/10/2001			
First Named Inventor	Sandesh Goel			
Art Unit	2661			
Examiner Name	Phunkulh, Bob			
Attorney Docket Number	P15426			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	<u> </u>	Number-Kind Code ^{2 (# known)}	11 1005	AIC	rigures Appear
		^{US-} 4,554,552	11-1985	Alford et al.	
		^{US-} 6,714,514	03-3004	Espax et al.	
		^{US-} 6,411,824	06-2002	Edison	
		^{US-} 6,081,566	06-2000	Moinar et al.	
		^{US-} 6,400,699	06-2002	Airy et al.	
		^{US-} 6,351,499	02-2002	Paulraj et al.	
		^{US-} 6,317,420	11-2001	Schiff	
		^{US-} 6,067,290	05-2000	Paulraj et al.	
		^{US-} 5,642,353	06-1997	Roy et al.	
		^{US-} 5,515,378	05-1996	Roy et al.	
		^{US-} 6.452,981	09-2002	Raleigh et al.	
		^{US-} 5,901,354	05-1999	Menich et al.	
		^{US-} 6,535,497	03-2003	Raith	
		^{US-} 6,249,669	06-2001	Ogino et al.	
		^{US-} 5,608,765	03-1997	Tanoue	
		^{ÚS-} 5,894,598	04-1999	Shoki	
		^{US-} 6,317,435	11-2001	Tiedemann	
		^{US-} 6,069,883	05-2000	Ejzak et al.	
		^{US-} 6,049,543	04-2000	Sauer et al.	

		FORE	IGN PATENT DOCU	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	T
		WO 98/09385	03-1998	Raleigh		
_		WO 98/09381	03-1998	Raleigh		
		WO 98/34424	08-1996	Driessen		
		WO 00/79722	12-2000	Alamouti		
		WO 96/22662	07-1996	Barratt et al.		
		EP 1 185 001	03-2002	Wu et al.		

Examiner	Date	
Signature	Considere	d

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

	•	•	• ,
Sheet	2	of_	8

Complete if Known				
Application Number	09/975,128	- '		
Filing Date	10/10/2001			
First Named Inventor	Sandesh Goel			
Art Unit	2661			
Examiner Name	Phunkulh, Bob			
Attorney Docket Number	P15426			

		-	U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	 	Number-Kind Code ^{2 (# known)}	00.1000	Doctornals et al	r igures / ppcar
		^{US-} 5,936,949	08-1999	Pasternak et al.	
		^{US-} 5,923,650	07-1999	Chen et al.	
		^{US-} 5,752,193	05-1998	Scholefield et al.	
		^{US-} 6,144,711	11-2000	Raleigh et al.	
		^{US-} 5,815,488	09-1998	Williams et al.	
		^{US-} 5,933,421	08-1999	Alamouti et al.	
		^{US-} 6,064,662	05-2000	Gitlin et al.	
		^{US-} 6,192,026	02-2001	Pollack et al.	
		^{US-} 6,097,771	08-2000	Foschini	
		^{US-} 6,058,105	05-2000	Hochwald et al.	
		^{US-} 6,278,697	08-2001	Brody et al.	
		^{US-} 6,243,367	06-2001	Hussain	
		^{US-} 6,163,547	12-2000	De Vriendt et al.	
		^{US-} 6,097,704	08-2000	Jackson et al.	
		^{US-} 5,136,528	08-1992	Fordham et al.	
-		^{US-} 6,441,721	08-2002	Tajima et al.	
		^{US-} 5,535,242	07-1996	Bridida et al.	
		^{US-} 6,266,527	07-2001	Mintz	
		^{US-} 5,940,771	06-2002	Gollnick et al.	

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶	
	<u> </u>						
						L	
						_	
L	<u> </u>	l		L			

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 3 of 8			•	•			
Sheet 3 of 8							
	Sheet	3_			of	8	

Complete if Known				
Application Number	09/975,128			
Filing Date	10/10/2001	·		
First Named Inventor	Sandesh Goel	•		
Art Unit	2661			
Examiner Name	Phunkulh, Bob			
Attorney Docket Number	P15426			

	U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		Number-Kind Code ^{2 (# known)}			rigules Appeal		
		^{US-} 6,563,790	05-2003	Yu et al.			
		^{US-} 5,721,733	02-1998	Wang et al.			
		^{US-} 6,185,258	02-2001	Alamouti			
		^{US-} 6,650,878	11-2003	Abe et al.			
		^{US-} 6,802,035	10-2004	Catreux et al.			
		^{US-} 4,347,627	08-1982	Alter			
		^{US-} 6,583,400	06-2003	Miyoshi			
		^{US-} 5,781,583	07-1998	Bruckert et al.			
		^{US-} 5,832,044	11-1998	Sousa et al.			
		^{US-} 6,370,129	04-2002	Huang			
		^{US-} 6,317,466	11-2001	Foschini et al.			
		^{US-} 5,592,490	01-1997	Barratt et al.			
		^{US-} 5,345,599	09-1994	Paulraj et al.			
		^{US-} 5,504,936	04-1996	Lee			
		^{US-} 5,729,825	03-1998	Kostreski et al.			
		^{US-} 5,732,075	03-1998	Tangemann et al.			
		^{US-} 5,841,971	11-1998	Longginou et al.			
		^{US-} 5,642,353	06-1997	Roy III et al.			
		^{US-} 5,828,658	10-1998	Ottersten et al.			

FOREIGN PATENT DOCUMENTS						
Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
	Country Code ³ "Number ⁴ "Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶	
-						
					-	
					\vdash	
		No.1 Foreign Patent Document	Cite Foreign Patent Document Publication Date MM-DD-YYYY	No.¹ Date Applicant of Cited Document	Cite Foreign Patent Document Publication Name of Patentee or Applicant of Cited Document Where Relevant Passages MM-DD-YYYY Publication Name of Patentee or Applicant of Cited Document Where Relevant Passages Or Relevant Figures Appear	

Examiner	Date	
Signature	Consider	ed

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

P15246

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO Application Number 09/975,128 Filing Date 10/10/2001 INFORMATION DISCLOSURE First Named Inventor Sandesh Goel STATEMENT BY APPLICANT Art Unit 2661 (Use as many sheets as necessary) Examiner Name Phunkulh, Bob

Attorney Docket Number

of 8

Sheet 4

	1			DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 5,592,471	01-1997	Briskman	
		^{US-} 6,490,256	12-2002	Jones et al.	
	,	^{US-} 6,058,114	05-2000	Sethuram et al.	
		^{US-} 6,778,501	08-2004	Malmgren et al.	
		^{US-} 6,763,491	07-2004	McDonnell	
		^{US-} 6,473,399	10-2002	Johansson et al.	
		^{US-} 5,559,810	09-1996	Gilbert et al.	
		^{US-} 6,021,124	02-2000	Haartsen et al.	
		^{US-} 6,842,487	01-2005	Larsson	
		^{US-} 6,185,440	02-2001	Barratt et al.	
		^{US-} 6,850,481	02-2005	Wu et al.	
		^{US-} 6,473,467	10-2002	Wallace	
		^{US-} 6,507,605	01-2003	Fukumoto et al.	
		^{US-} 6,757,241	06-2004	Jones et al.	
		us- 6,888,809	05-2005	Foschini et al.	
		^{US-} 5,886,988	03-1999	Yun et al.	
		^{US-} 5,819,168	10-1998	Golden et al.	
		^{US-} 5,361,276	11-1994	Subrumanian	
		^{US-} 5,627,861	05-1997	Kataoka et al.	

		FOREI	GN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
	ļ					
	-					
	}					

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449/PTO

Sheet

(Use as many sheets as necessary)

of 8

Complete if Known					
Application Number	09/975,128				
Filing Date	10/10/2001				
First Named Inventor	Sandesh Goel				
Art Unit	2661				
Examiner Name	Phunkulh, Bob				
Attorney Docket Number	P15426				

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 5,715,240	02-1998	Borras et al.	
		^{US-} 5,867,478	02-1999	Baum	
		^{US-} 5,889,759	03-1999	McGibney	
		^{US-} 6,198,775	03-2001	Khayrallah et al.	
		^{US-} 5,832,044	11-1998	Sousa et al.	
		^{US-} 6,108,565	08-2000	Scherzer	
		^{US-} 6,104,661	11-2000	Goldburg	
		us- 5,999,800	12-1999	Choi et al.	
		^{US-} 6,175,550	01-2001	Van Nee	
		US-			

			<u>SN PATENT DOCU</u>	MENTS	***	$\overline{}$
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
	-	Country Code Number And Code (# known)			 	\vdash
					1	
	-					
			 			₽
						╙

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Complete if Known

09/975,128

10/10/2001

Sandesh Goel

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Number

First Named Inventor

Filing Date

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

S			APPLICANT	Art Unit	2661
	(Use as many sh	ieets a			Phunkulh, Bob
Sheet	6	of	8	Attorney Docket Number	P15426

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 2001/0228677	10-2001	Wang et al.	, geroor ppour
				Das et al.	
	1	US- 2002/0097684	07-2002		
		US- 2002/0071407	06-2002	Koo et al.	
		^{US-} 2003/0147353	08-2003	Clarkson et al.	
		^{US-} 2001/0003088	06-2001	Ogino et al.	
		^{US-} 2002/0000948	01-2002	Chun et al.	
		^{US-} 2003/0035490	02-2003	Gollamudi	
		US-			
1,000,000		US-			
		US-			

			GN PATENT DOCU	MENTS	-	
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
•						
		300 S.L.				

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. See Finds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. See Finds Codes of USPTO Patent Documents, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO			Complete if Known		
Cubsina	10 101 101111 1440/1 10			Application Number	09/975,128
INF	ORMATION	DIS	CLOSURE	Filing Date	10/10/2001
STA	STATEMENT BY APPLICANT			First Named Inventor	Sandesh Goel
	(Use as many she	ote se n	arassan/)	Art Unit	2661
	lose as many sne		ecessury,	Examiner Name	Phunkulh, Bob
Sheet	7	of	8	Attorney Docket Number	P15426

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Paulraj, A. et al., "Space-Time Processing for Wireless Communications", IEEE Signal Processing Magazine, November 1997, pp 49-83.	
		Paulraj, A., "Taxonomy of Space-Time Processing for Wireless Networks", IEEE Proc Radar Sonar Navig., Vol 145, No. 1 February 1998.	
		Andrews, M. et al., "Tripping the Capacity of Wireless Communications using Electromagnetic Polarization", Nature Magazine, 1/18/01, pp 316-318, Vol. 409, Macmillian Magazine.	
		Bertoni, Henry L., "Talk is cheap in the City", Nature Magazine, January 18, 2001, pp 291-292, Vol. 409, Macmillian Magazines.	
		Sumeet Sanhu and A. Paulraj, October 6, 2000, Wireless Conference Presented Paper.	
		Raliegh et al., "Spatio-Temporal Coding for Wireless Communication", March 1998, IEEE Transactions on Communications.	
		A. Maleki et al., "Space-Time Coding Transmission Optimization for Wireless Channels" July 1998, IEEE.	
		A. Scaglione et al., "Filterbank Transceivers Optimization Information Rate in Block Transmission over Drepssive Channnels", April 1999, IEEE	
		A.Scaglione et al., Redundant Filterbank Precoders and Equalizers Part 1: Unification and Optimal Designs, July 1999 Transactions on Signal Processing	
		R. Negi et al., "Adaptive Antennas for Space-Time Coding over Block-Time Invariant Multi-Path Fading Channels, 1999, IEEE	

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Complete if Known
Application Number	09/975,128
Filing Date	10/10/2001
First Named Inventor	Sandesh Goel
Art Unit	2661
Examiner Name	Phunkulh, Bob
Attorney Docket Number	P15426
	Filing Date First Named Inventor Art Unit Examiner Name

		NON DATENT LITEDATURE DOCUMENTO	
Examiner Initials*	Cite No. ¹	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		D. Shiu et al., Fading Correlation and Its Effects on the Capacity of the Multielement Antenna Systems, IEEE Transactions on Communications, Vol. 48, No. 3, 03/00.	
		H. Bolcskei et al., Performance of Space-Time Codes in the Presence of Spatial Fading Correlation, IEEE, 2000.	
		H. Sampath et al. Generalized Linear Precoder and Decoder Desgin for MIMO Channels using the Weighted MMSE Criterion, IEEE Transactions on Communications, Vol. XX, No. Y, 2001	

Examiner	Date	
Signature	Considere	ed

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.